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FROM: Joseph T. Van Leeuwen  
Van Leeuwen & Van Leeuwen  
Registered Patent Attorneys  
6123 Pebble Garden Court  
Austin, Texas 78739  
Tel No: 512-301-6738  
Fax No: 512-301-6742

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Docket No. AUS920010237US1

Serial No. 09/895,891

Atty: HR / JVL

Applicant: Calderaro, et al.

☒ Transmittal Letter (2 copies)

☒ Certificate of Facsimile

☐ Amendment (      pages)

☐ Notice of Appeal

☐ Amendment AF

☐ Appeal Brief (3 copies)

☐ Ext. of Time

☐ Reply Brief

☒ IDS Statement

☐ Change of Address

☒ Form PTO-1449 (Modified)

☒ Other: Copies of 2 non-patent references cited in Form PTO 1449 (9 pages)

Deposit Acct. No. 09-0447

Fees: Amendment          Notice of Appeal          Appeal Brief          Other \$ 0.00

Auy Ref. No. 1031

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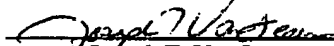
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Joseph T. Van Leeuwen

1/6/06  
Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Calderaro, et al.

For: System and Method for Personnel Management Collaboration

Enclosed are:


- ☒ Information Disclosure Statement;
- ☒ Modified form 1449 listing 2 references;
- ☒ Copies of 2 non-patent references (9 pages);

37 CFR § 1.97 is satisfied by the following:

- ☒ This IDS is being filed pursuant to CFR § 1.97(c) and:
  - ☒ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
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- ☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By

  
Joseph T. Van Leeuwen  
Attorney for Applicant  
Registration No. 44,383  
Telephone: (512) 301-6738  
Facsimile: (512) 301-6742

Atty Ref. No. 1031

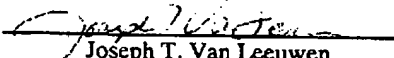
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 Joseph T. Van Leeuwen	<u>1/6/06</u> Date

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Respectfully submitted,

By   
Joseph T. Van Leeuwen  
Attorney for Applicant  
Registration No. 44,383  
Telephone: (512) 301-6738  
Facsimile: (512) 301-6742

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DATE: January 6, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	§ Group Art Unit: 2167
Calderaro, et. al.	§
Serial No.: 09/895,891	§ International Business Machines Corporation
Filed: June 29, 2001	§
Title: <u>System and Method for</u>	§ IP Law Dept.
<u>Personnel Management</u>	§ 11400 Burnet Road
<u>Collaboration</u>	§ Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents  
Washington, D.C. 20231


Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

By   
Joseph T. Van Leeuwen  
Van Leeuwen & Van Leeuwen  
Attorneys for Applicant  
Registration No. 44,383  
Telephone: (512) 301-6738  
Facsimile: (512) 301-6742

File No. IBM-AUS-1031

In Place of FORM PTO-1449 (Modified)

Serial No. 09/895,891Applicant: Calderaro, et. al.Filing Date: 6/29/2001Group: 2167Atty. Docket No. AUS920010237US1**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT****U. S. PATENT DOCUMENTS**

Examiner <u>Initial</u>	Document <u>Number</u>	<u>Date</u>	<u>Name</u>	Classification <u>Class / Subclass</u>	Filing Date if <u>Appropriate</u>
_____	AA				
_____	AB				
_____	AC				
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_____	AJ				
_____	AK				
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**FOREIGN PATENT DOCUMENTS**

Examiner <u>Initial</u>	Document <u>Number</u>	<u>Date</u>	<u>Country</u>	Classification	Translation <u>Yes No</u>
_____	AM				
_____	AN				
_____	AO				
_____	AP				

Examiner  
Initial**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

_____	AQ	"Oracle seizes the future with retention training," <u>Workforce</u> , 78, 12, 88. Dec. 1999. From DIALOG file 148, 11713011.
_____	AR	McNally, J. Stephen, "Retaining valued employees," <u>Pennsylvania CPA Journal</u> , vol. 71, no. 4, p. 24-28, winter 2000. From DIALOG file 15, 02096148.
_____	AS	

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.